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# Navier\_Stokes 方程初值问题的形式解\*

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摘要: 给出了  $R^3 \times R$  上的 Navier\_Stokes 方程初值问题存在形式解的充要条件, 同时给出了一个计算实例

关键词: Navier\_Stokes 方程; 形式解; 分层; 末方程

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在文献 [1] 中, 对于  $R^3 \times R$  上的 Navier\_Stokes 方程的不稳定性, 曾经以准确解的形式给出过若干例证, 构造这些准确解的根据则是其末方程 (equation secondaire)<sup>[1]</sup>, 事实上这些准确解是按一定要求从 Navier\_Stokes 方程的形式解中特别挑选出来的有限形式. 在一般情况下, 要求某个偏微分方程的形式解是非常困难的, 但也并非无法可想. 根据分层理论, 寻找 Navier\_Stokes 方程的形式解问题, 在若干分析、计算之后是可能取得结果的: 首先, 必须对方程  $D$  构造它的本方程  $D^*$ ; 然后确定流形  $E_{l,k}(D)$ ,  $W_{l,k}(D)$ ; 最后通过分层<sup>[1]</sup> 确定末方程. 这时才可讨论形式解问题. 本文将根据关于 Navier\_Stokes 方程的已知结果, 得到其形式解存在的充要条件.

为叙述方便, 罗列以下主要符号, 其定义请参看 [1].

- $P_l(X, Y)$   $C^\infty$  流形  $X$  到  $Y$  的 ( $C^\omega$  或  $C^\infty$  或  $C^k$ ) 嵌入空间 (具有  $C^\infty$  或  $C^k$  拓扑);
- $I_k(X, Y)$   $J^k(X, Y)$  的 Cartan\_Ehresmann 理想子代数;
- $e$  Ehresmann 对应;
- $e_i(f)$  复合对应  $p_2 \circ (j^1 f) \circ e$  的第  $i$  分量,  $f: J^k(R^n, R^m) \rightarrow R, e(f): J^{k+1}(R^n, R^m) \xrightarrow{s} J^1(R^n, J^k(R^n, R^m)) \xrightarrow{i^1} J^1(R^n, R) = J^0(R^n, R) \times R^n \xrightarrow{p_2} R^n$ ;
- $D', D^*$   $D$  的准本方程和本方程;
- $E_{l,k}(V, Z), W_{l,k}(V, Z)$   $V$  到  $Z$  的  $(l, k)$  阶 Shih\_典则系统;
- $E_{l,k}(D), W_{l,k}(D)$   $D$  的  $(l, k)$  阶 Shih\_典则系统;

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$S_{l,k}^t(D)$	$D$ 的 $(l, k)$ 阶横截面;
$S_{l,k}^i(D)$	$D$ 的 $(l, k)$ 阶 $i$ 层, 其纤维的维数 = $i$ ;
$T_{l,k}(D)$	$D$ 的 $(l, k)$ 阶陷阱;
$E(S_{l,k}^i(D))$	$S_{l,k}^i(D)$ 的末方程;
$V(f)$	对应 $f: J^k(V, Z) \rightarrow R$ 的零点集, 并且 $V(f_1, f_2) = V(f_1) \cap V(f_2)$ ;
$\Delta_q$	$q$ 维标准单形.

## 1 已有的结论

设  $R^3 \times R = V, R^3 \times R_+ = Z$ ,  $V$  上的 Navier-Stokes 方程<sup>[2]</sup>  $D$  看成 Ehresmann 空间  $J^2(V, Z)$  的子集, 并使用  $J^2(V, Z)$  的局部坐标, 可将其写成以下形式:

$$D: \begin{cases} f_1: \forall [p_{11}^1 + p_{22}^1 + p_{33}^1] + \Phi_1 = 0, \\ f_2: \forall [p_{11}^2 + p_{22}^2 + p_{33}^2] + \Phi_2 = 0, \\ f_3: \forall [p_{11}^3 + p_{22}^3 + p_{33}^3] + \Phi_3 = 0, \\ f_4: p_1^1 + p_2^2 + p_3^3 = 0, \end{cases} \quad (*)$$

其中

$$(x, t) = (x_1, x_2, x_3, x_4) \in V, (u_1, u_2, u_3, p) = (u_1, u_2, u_3, u_4) \in Z, \\ \Phi_j = - \left[ p_4^j + u_1 p_1^j + u_2 p_2^j + u_3 p_3^j + \frac{1}{\rho} p_j^4 \right] + F_j \quad (j = 1, 2, 3). \quad (1)$$

设  $F_j \in C^\infty$ , 并将(\*)左侧各式依次记为  $f_1, f_2, f_3, f_4$ , 以下结果请参看[1]、[3].

(A)  $D$  的本方程  $D^* = \bigcup_l D_l, D_l \subseteq J^l(V, Z) (l = -1, 0, 1, 2, \dots)$

$$D_{-1} = J^{-1}(V, Z) = V = R^3 \times R,$$

$$D_0 = J^0(V, Z) = V \times Z,$$

$$D_1 = V(f_4),$$

$$D_2 = V(f_j, e_i(f_4), f, f_4),$$

$$D_3 = V(e_i(f_j), e_{ii}(f_4), e_i(f), f_j, e_i(f_4), f, f_4),$$

⋮

$$D_k = V(e_{i_1 \dots i_{k-2}}(f_j), e_{ii_1 \dots i_{k-2}}(f_4), e_{i_1 \dots i_{k-2}}(f), \dots, f_j, e_i(f_4), f, f_4),$$

$$(i, i_1, \dots, i_{k-2} = 1, 2, 3, 4; i \leq i_1 \leq \dots \leq i_{k-2}; j = 1, 2, 3; k \geq 3),$$

其中  $f$  代表下式的左侧

$$\begin{cases} f: \frac{1}{\rho}(p_{11}^4 + p_{22}^4 + p_{33}^4) + \Phi_4 = 0, \\ \Phi_4 = - \left[ \frac{\partial F_1}{\partial x_1} + \frac{\partial F_2}{\partial x_2} + \frac{\partial F_3}{\partial x_3} \right] + (p_1^1)^2 + (p_2^2)^2 + (p_3^3)^2 + \\ \quad 2p_2^1 p_1^2 + 2p_1^3 p_3^1 + 2p_3^2 p_2^3. \end{cases} \quad (2)$$

(B) 对任何  $k \geq 2, \rho_{3, k-1}: E_{3, k-1}(V, Z) \rightarrow W_{3, k-1}(V, Z)$  的分层是

$$W_{3, k-1}(V, Z) = W_{3, k-1}(D) \cup T_{3, k-1}(D) = (S_{3, k-1}^0(D) \cup S_{3, k-1}^4(D)) \cup T_{3, k-1}(D),$$

这里

$$E_{3, k-1}(V, Z) \subseteq G_3^*(TJ^{k-1}(V, Z)) \times J^{k-1}(V, Z)J^k(V, Z),$$

$$W_{3, k-1}(V, Z) = \text{Imp } \rho_1(E_{l, k-1}(V, Z)) \subseteq G_3^*(TJ^{k-1}(V, Z)) \bullet$$

纤维空间

$$\rho_{3, k-1}^0: E_{3, k-1}^0(D) \rightarrow S_{3, k-1}^0(D),$$

$$\rho_{3, k-1}^4: E_{3, k-1}^4(D) \rightarrow S_{3, k-1}^4(D),$$

其纤维的维数分别是 0 和 4•

对任何  $k \geq 2$ ,  $D$  的  $(3, k-1)$  阶横截面是空集:

$$S_{3, k-1}^4(D) = \emptyset$$

(C) Navier\_Stokes 方程(\*)的任何  $C^k (k \geq 2)$  初始条件或混合条件都是不适定的•

## 2 初值问题存在形式解的条件

### 2.1 末方程 $E(S_{3, k-1}^0(D))$ , $E(S_{3, k-1}^4(D))$

在  $W_{3, k-1}(V, Z) \subseteq G_3^*(TJ^{k-1}(V, Z))$  的开覆盖  $\{\Omega_i\}$ , ( $i = 1, 2, 3, 4$ ) 中, 考虑  $\Omega_3$  和  $\Omega_4$  两种情形( $\Omega_1, \Omega_2$  则和  $\Omega_3$  有相似的结论)•

设  $\tau \in \Omega_4$ ,  $\tau$  由  $J^{k-1}(V, Z)$  在点  $p(\tau)$  的如下三个切向量生成:

$$\begin{cases} \eta_1 = (1, 0, 0, \alpha_1, \hat{u}_i(1), \hat{p}_j^{\lambda}(1)), \\ \eta_2 = (0, 1, 0, \alpha_2, \hat{u}_i(2), \hat{p}_j^{\lambda}(2)), \\ \eta_3 = (1, 0, 0, \alpha_3, \hat{u}_i(3), \hat{p}_j^{\lambda}(3)), \end{cases} \quad (i = 1, 2, 3, 4, |\lambda| \leq k-1) \bullet$$

$$p(\tau) = (x_i, u_i, p_j^{\lambda}) \in J^{k-1}(V, Z) \quad (i, j = 1, 2, 3, 4, |\lambda| \leq k-1),$$

则得到  $\tau \in \Omega_4 \cap S_{3, k-1}^0(D)$  的充要条件是:

$$\begin{cases} \alpha = \alpha_1^2 + \alpha_2^2 + \alpha_3^2 \neq 0, \\ \Phi_{\alpha, k-2}(\tau) = \alpha_1 \Phi_{1, k-2}^{(4)}(\tau) + \alpha_2 \Phi_{2, k-2}^{(4)}(\tau) + \alpha_3 \Phi_{3, k-2}^{(4)}(\tau) + \nu \alpha \Phi_{4, k-2}^{(4)}(\tau) \bullet \end{cases} \quad (3)$$

而为使  $\tau \in \Omega_4 \cap S_{3, k-1}^4(D)$ , 其充要条件是

$$\begin{cases} \alpha = \alpha_1^2 + \alpha_2^2 + \alpha_3^2 = 0, \\ (\Phi_{4, k-2}^{(4)}(\tau))^2 + \sum_{i=1}^4 (\Phi_{i, k-2}^{(4)}(\tau))^2 = 0 \bullet \end{cases} \quad (4)$$

(3) 式和(4)式即末方程  $E(S_{3, k-1}^0(D))$  和  $E(S_{3, k-1}^4(D))$ •

$\Phi_{i, k-2}^{(4)}(\tau)$  ( $i = 1, 2, 3, 4$ ) 和  $\Phi_{4, k-2}^{(4)}(\tau)$  的计算公式如下:

$$\begin{cases} \Phi_{i, k-2}^{(4)}(\tau) = -\Phi_{i, k-2}^{(4)}(\tau) + \nu [\alpha_1 \hat{p}_{i4^{k-1}}(1) + \alpha_2 \hat{p}_{i4^{k-1}}(2) + \alpha_3 \hat{p}_{i4^{k-1}}(3) - \\ \hat{p}_{i4^{k-2}}(1) - \hat{p}_{i24^{k-2}}(2) - \hat{p}_{i34^{k-2}}(3)] \quad (i = 1, 2, 3), \\ \Phi_{4, k-2}^{(4)}(\tau) = -[\hat{p}_{44^{k-1}}(1) + \hat{p}_{44^{k-1}}(2) + \hat{p}_{44^{k-1}}(3)], \\ \Phi_{4, k-2}^{(4)}(\tau) = -\Phi_{4, k-2}^{(4)}(\tau) + \frac{1}{\rho} [\alpha_1 \hat{p}_{44^{k-1}}(1) + \alpha_2 \hat{p}_{44^{k-1}}(2) + \alpha_3 \hat{p}_{44^{k-1}}(3) - \\ \hat{p}_{44^{k-2}}(1) - \hat{p}_{424^{k-2}}(2) - \hat{p}_{434^{k-2}}(3)] \bullet \end{cases} \quad (5)$$

(5) 式中  $\Phi_{i, k-2}^{(4)}$  和  $\Phi_{4, k-2}^{(4)}$  的计算公式为

$$\left\{ \begin{aligned} \Phi_{i, k-2}^{(4)} &= - \left\{ [p_4^{i k-1} + u_1 p_{14}^{i k-2} + u_2 p_{24}^{i k-2} + u_3 p_{34}^{i k-2}] + c_{k-2}^1 [p_4^1 \cdot p_{14}^{i k-3} + p_4^2 \cdot p_{24}^{i k-3} + \right. \\ &\quad p_4^3 \cdot p_{34}^{i k-3}] + \dots + c_{k-2}^l [p_4^1 \cdot p_{14}^{i k-l-2} + p_4^2 \cdot p_{24}^{i k-l-2} + p_4^3 \cdot p_{34}^{i k-l-2}] + \dots + \\ &\quad \left. c_{k-2}^{k-3} [p_4^{1 k-3} \cdot p_{14}^i + p_4^{2 k-3} \cdot p_{24}^i + p_4^{3 k-3} \cdot p_{34}^i] + \frac{1}{\rho} \cdot p_{i4}^{4 k-2} - \frac{\partial^{k-2} F_i}{\partial x_4^{k-2}} \right\}, \\ \Phi_{4, k-2}^{(4)} &= \frac{\partial^{k-2} \Phi_4}{\partial x_4^{k-2}} \quad (k \geq 3). \end{aligned} \right. \quad (6)$$

现在设  $\tau \in \Omega_3$ , 则  $\tau$  由  $J^{k-1}(V, Z)$  在点  $p(\tau)$  的如下 3 个切向量生成

$$\left\{ \begin{aligned} \zeta_1 &= (1, 0, \delta_1, 0, \hat{u}_i(1), \hat{p}_j^{\lambda}(1)), \\ \zeta_2 &= (0, 1, \delta_2, 0, \hat{u}_i(2), \hat{p}_j^{\lambda}(2)), \quad (i = 1, 2, 3, 4, |\lambda| \leq k-1), \\ \zeta_3 &= (0, 0, \delta_3, 1, \hat{u}_i(3), \hat{p}_j^{\lambda}(3)), \end{aligned} \right.$$

$$p(\tau) = (x_i, u_i, p_j^{\lambda}) \in J^{k-1}(V, Z) \quad (i, j = 1, 2, 3, 4, |\lambda| \leq k-1),$$

则  $\tau \in \Omega_3 \cap S_{3, k-1}^0(D)$  的充要条件是

$$\begin{aligned} \Phi_{\delta, k-2} &= \delta_1 \Phi_{1, k-2}^{(3)}(\tau) + \delta_2 \Phi_{2, k-2}^{(3)}(\tau) - \Phi_{3, k-2}^{(3)}(\tau) + \nu \delta \Phi_{4, k-2}^{(3)}(\tau) = 0, \\ (\delta &= 1 + \delta_1^2 + \delta_2^2). \end{aligned} \quad (7)$$

式(7)即末方程  $E(S_{3, k-1}^0(D))$ .

$\Phi_{i, k-2}^{(3)}(\tau)$  ( $i = 1, 2, 3, 4$ ) 和  $\Phi_{4, k-2}^{(3)}(\tau)$  的计算公式如下:

$$\left\{ \begin{aligned} \Phi_{i, k-2}^{(3)}(\tau) &= - \left\{ \Phi_{i, k-2}^{(3)}(\tau) + \nu [ \delta_1 \hat{p}_{3^{k-1}}^i(1) + \delta_2 \hat{p}_{3^{k-1}}^i(2) - \hat{p}_{1^{k-1}}^i(1) - \right. \\ &\quad \left. \hat{p}_{2^{k-1}}^i(2) ] \quad (i = 1, 2, 3), \right. \\ \Phi_{4, k-2}^{(3)}(\tau) &= - \left[ \hat{p}_{3^{k-1}}^1(1) - \hat{p}_{3^{k-1}}^2(2), \right. \\ \Phi_{4, k-2}^{(3)}(\tau) &= - \left\{ \Phi_{4, k-2}^{(3)}(\tau) + \frac{1}{\rho} [ \delta_1 \hat{p}_{3^{k-1}}^4(1) + \delta_2 \hat{p}_{3^{k-1}}^4(2) - \hat{p}_{1^{k-1}}^4(1) - \right. \\ &\quad \left. \hat{p}_{2^{k-1}}^4(2) ] \right\}. \end{aligned} \right. \quad (8)$$

(8) 式中  $\Phi_{i, k-2}^{(3)}$  和  $\Phi_{4, k-2}^{(3)}$  的计算公式为:

$$\left\{ \begin{aligned} \Phi_{i, k-2}^{(3)} &= - \left\{ [p_3^{i k-2} + u_1 p_{13}^{i k-2} + u_2 p_{23}^{i k-2} + u_3 p_{33}^{i k-2}] + c_{k-2}^1 [p_3^1 \cdot p_{13}^{i k-3} + p_3^2 \cdot p_{23}^{i k-3} + \right. \\ &\quad p_3^3 \cdot p_{33}^{i k-3}] + \dots + c_{k-2}^{k-3} [p_3^{1 k-3} \cdot p_{13}^i + p_3^{2 k-3} \cdot p_{23}^i + p_3^{3 k-3} \cdot p_{33}^i] + \\ &\quad \left. \frac{1}{\rho} \cdot p_{i3}^{4 k-2} - \frac{\partial^{k-2} F_i}{\partial x_3^{k-2}} \right\}, \\ \Phi_{4, k-2}^{(3)} &= \frac{\partial^{k-2} \Phi_4}{\partial x_3^{k-2}} \quad (k \geq 3). \end{aligned} \right. \quad (9)$$

## 2.2 初值问题形式解的存在条件

设  $x^0 \in V = R^3 \times R$ , 并给了  $D(*)$  一组初始条件  $(\sigma, \gamma) \in Pl(\Delta_3, V) \times Pl(\Delta_3, J^1(V, Z))$ , 即满足如下条件的一对  $C^\infty$  嵌入  $(\sigma, \gamma)^{[1]}$ :

$$\alpha_1^1 \circ \gamma = \sigma,$$

$$\gamma^* \omega = 0, \quad \forall \omega \in I_1(V, Z),$$

$$\text{Im } \gamma \subseteq D_1,$$

其中

$$\begin{cases} \sigma(\xi) = (x_1, x_2, x_3, x_4) = (x_1^0 + \xi_1, x_2^0 + \xi_2, x_3^0 + \xi_3, x_4^0 + f(\xi)), \\ \gamma(\xi) = (\sigma(\xi), u_i(\xi), p_j^i(\xi)) \quad (i, j = 1, 2, 3, 4), \end{cases} \quad (10)$$

这里  $\xi = (\xi_1, \xi_2, \xi_3) \in \Delta_3$  是重心坐标, 对应  $f: \Delta_3 \rightarrow R, f(0) = 0$ , 且  $f \in C^\infty$ .

则有以下定理:

**定理 1** 设给出(\*) 一组初始条件  $(\sigma, \gamma)$  由(10) 定义. 则相对于  $(\sigma, \gamma)$  的初值问题存在形式解的充要条件是存在  $C^\infty$  嵌入序列  $\{\gamma_{k-1}\}, (k \geq 2)$

$$\gamma_{k-1}: \Delta_3 \rightarrow J^{k-1}(V, Z),$$

使得

$$\text{Im } \gamma_{k-1} \subseteq S_{3, k-1}^a(D) \quad (a = 0 \text{ 或 } 4), \quad (11)$$

即  $\gamma_{k-1}$  是末方程  $E(S_{3, k-1}^a(D))$  的解. 并满足

$$\alpha_{k-1}^{k-1} \circ \gamma_{k-1} = \gamma_{k-1}, \quad \alpha_{k-1}^{k-1} \circ \gamma_{k-1} = \sigma. \quad (12)$$

**证明**

**充分性** 如果满足定理条件的  $\{\gamma_{k-1}\}$  存在, 设

$$\gamma_{k-1}(\xi) = (\sigma(\xi), u_i(\xi), p_j^i(\xi)) \quad (i = 1, 2, 3, 4, |\lambda| \leq k-1),$$

则由  $\text{Im } \gamma_{k-1} \subseteq S_{3, k-1}^a(D)$  ( $a = 0$  或  $4$ ) 知, 以  $p_j^i(0)$  为系数所构成的级数

$$\sum_{\lambda} \frac{1}{\lambda!} A_j^i(x^0)(x - x^0)^\lambda \quad (13)$$

就是所求的形式解. 这里

$$\left. \begin{aligned} A_j^i(x^0) &= p_j^i(0) \quad (i = 1, 2, 3, 4), \\ x^\lambda &= x_1^\lambda x_2^\lambda x_3^\lambda x_4^\lambda \in V = R^3 \times R. \end{aligned} \right\} \quad (14)$$

**必要性** 如果相对于  $(\sigma, \gamma)$ , (\*) 存在形式解

$$\sum_{\lambda} B_j^i(x - x^0)^\lambda \quad (i = 1, 2, 3, 4),$$

则由此形式解在点  $x^0$  及其附近的各阶(形式) 导数, 就可构成序列  $\{\gamma_{k-1}\}, (k \geq 2, \gamma_1 = \gamma)$ , 满足定理 1 中的全部条件.

定理 1 中的条件等价于以下两组关系式:

$k \geq 2,$

$$\left\{ \begin{aligned} &\left[ \left( \frac{\partial f}{\partial \xi_1} \right)^2 + \left( \frac{\partial f}{\partial \xi_2} \right)^2 + \left( \frac{\partial f}{\partial \xi_3} \right)^2 \right] \neq 0, \\ &\left[ \left( \frac{\partial f}{\partial \xi_1} \right)^2 \varphi_{1, k-2}^{(4)} + \left( \frac{\partial f}{\partial \xi_2} \right)^2 \varphi_{2, k-2}^{(4)} + \left( \frac{\partial f}{\partial \xi_3} \right)^2 \varphi_{3, k-2}^{(4)} \right. \\ &\quad \left. + \left[ \left( \frac{\partial f}{\partial \xi_1} \right)^2 + \left( \frac{\partial f}{\partial \xi_2} \right)^2 + \left( \frac{\partial f}{\partial \xi_3} \right)^2 \right] \varphi_{4, k-2}^{(4)} \right] = 0, \end{aligned} \right. \quad (15)$$

或

$$\left\{ \begin{aligned} &\left[ \left( \frac{\partial f}{\partial \xi_1} \right)^2 + \left( \frac{\partial f}{\partial \xi_2} \right)^2 + \left( \frac{\partial f}{\partial \xi_3} \right)^2 \right] = 0, \\ &\left[ \left( \varphi_{4, k-2}^{(4)} \right)^2 + \sum_{i=1}^4 \left( \varphi_{i, k-2}^{(4)} \right)^2 \right] = 0. \end{aligned} \right. \quad (16)$$

(15)、(16) 分别对应于  $\text{Im } \gamma_{k-1} \subseteq S_{3, k-1}^0(D)$  和  $\text{Im } \gamma_{k-1} \subseteq S_{3, k-1}^4(D)$ . 式中  $\varphi_{i, k-2}^{(4)}$  和  $\varphi_{4, k-2}^{(4)}$ , 在

(5) 式中取  $\alpha_i = \partial f / \partial \xi_i$ , ( $i = 1, 2, 3$ ),  $\hat{p}_j^i(l) = \partial p_j^i(\xi) / \partial \xi_j$ , ( $i = 1, 2, 3, | \lambda | \leq k-1$ ), 即由  $y_{k-1}(\xi)$  所确定.

如果初始条件  $(\sigma, \gamma)$  是:

$$\begin{cases} \sigma(\xi) = (x_1^0 + \xi_1, x_2^0 + \xi_2, x_3^0 + g(\xi), x_4^0 + \xi_4), \\ \gamma(\xi) = (\sigma(\xi), u_i(\xi), p_j^i(\xi)) \quad (i, j = 1, 2, 3, 4), \end{cases} \quad (17)$$

这里  $\xi = (\xi_1, \xi_2, \xi_4) \in \Delta_3$ , 是重心坐标,  $C^\infty$  对应  $g: \Delta_3 \rightarrow R$ , 且  $g(0) = 0$ . 则有以下定理:

定理 2 设给出  $D(*)$  的一组初始条件  $(\sigma, \gamma)$  由 (17) 定义. 则相对于  $(\sigma, \gamma)$  的初值问题存在形式解的充要条件是存在  $C^\infty$  嵌入序列  $\{y_{k-1}\}$ , ( $k \geq 2$ ).

$$y_{k-1}: \Delta_3 \rightarrow J^{k-1}(V, Z)$$

使得

$$\text{Im } y_{k-1} \subseteq S_{3, k-1}^0(D) \quad (y_{k-1} \text{ 是末方程 } E(S_{3, k-1}^0(D)) \text{ 的解}), \quad (18)$$

即对任何  $k \geq 2$ , 成立

$$\left[ \frac{\partial g}{\partial \xi_1} \right] \varphi_{1, k-2}^{(3)} + \left[ \frac{\partial g}{\partial \xi_2} \right] \varphi_{2, k-2}^{(3)} - \varphi_{3, k-2}^{(3)} + \sqrt{1 + \left[ \frac{\partial g}{\partial \xi_1} \right]^2 + \left[ \frac{\partial g}{\partial \xi_2} \right]^2} \varphi_{4, k-2}^{(3)} = 0. \quad (19)$$

(19) 式中的  $\varphi_{i, k-2}^{(3)}$  在 (8) 式中取  $\delta = \partial g / \partial \xi_j$ , ( $l = 1, 2, 4$ ),  $\hat{p}_j^i(l) = \partial p_j^i / \partial \xi_j$ , 即由  $y_{k-1}(\xi)$  所确定:

$$y_{k-1}(\xi) = (\sigma(\xi), u_i(\xi), p_j^i(\xi)) \in J^{k-1}(V, Z).$$

定理 2 的证明与定理 1 的证明相似.

### 3 计算实例

为方便起见, 设  $x^0 = 0 \in R^4$ . 并设初始条件  $(\sigma, \gamma)$  如下:

$$\begin{cases} \sigma(\xi) = (0 + \xi_1, 0 + \xi_2, 0 + \xi_3, 0 + 0), \\ \gamma(\xi) = (\sigma(\xi), u_i(\xi), p_j^i(\xi)) \quad (i, j = 1, 2, 3, 4). \end{cases} \quad (20)$$

此即通常在超平面  $\{t = 0\} \subseteq R^4$  上的初值问题. 由于  $\partial f / \partial \xi_l = 0$ , ( $l = 1, 2, 3$ ), 因而形式解的存在条件是 (16).

计算过程如下:

1) 根据  $(\sigma, \gamma)$  的定义, 必须有  $\text{Im } \gamma \subseteq D_1$ ,  $\gamma^* \omega = 0$ ,  $\forall \omega \in I_1(V, Z)$ , 因此初始值  $u_i(\xi)$ ,  $p_j^i(\xi)$  必须满足

$$\begin{cases} u_1(1) + u_2(2) + u_3(3) = 0, & \left[ u_i(l) = \frac{\partial u_i}{\partial \xi_j}(\xi) \right], \\ p_j^i(\xi) = u_i(l) \quad (i = 1, 2, 3, 4; l = 1, 2, 3), \end{cases} \quad (21)$$

$p_j^i$  ( $i = 1, 2, 3, 4$ ) 待定.

2)  $k = 3$ , 确定  $p_j^i$ , ( $i, j, k = 1, 2, 3, 4; j \leq k$ ), 即确定  $y_2(\xi)$ .

根据  $\varphi_{i,0}^{(4)} = 0$ , ( $i = 1, 2, 3, 4$ ),  $\varphi_{4,0}^{(4)} = 0$ , 得到

$$\left\{ \begin{array}{l} p_4^i(\xi) = \mathcal{V}[p_1^i(1) + p_2^i(2) + p_3^i(3)] - \left[ u_1 p_1^i + u_2 p_2^i + u_3 p_3^i + \frac{1}{\rho} p_4^i \right] + \\ \quad F_i(\xi) \quad (i = 1, 2, 3), \\ p_4^1(1) + p_4^2(2) + p_4^3(3) = 0, \\ \frac{1}{\rho}[p_1^4(1) + p_2^4(2) + p_3^4(3)] - \left[ \frac{\partial F_1}{\partial x_1} + \frac{\partial F_2}{\partial x_2} + \frac{\partial F_3}{\partial x_3} \right](\xi) + \\ \quad (p_1^1)^2 + (p_2^2)^2 + (p_3^3)^2 + 2p_2^1 p_1^2 + 2p_3^1 p_1^3 + 2p_3^2 p_2^3 = 0; \\ p_j^i(\xi) = \frac{\partial p_j^i}{\partial \xi}(\xi) = p_j^i(l) \quad (i, j = 1, 2, 3, 4; l = 1, 2, 3); \end{array} \right. \quad (22)$$

$p_4^i(\xi)$  ( $i = 1, 2, 3, 4$ ) 待定。

(22) 是初始值  $p_4^i$  ( $i = 1, 2, 3$ ) 的计算公式和约束。可以看到  $p_4^4$  “缺席”！因而可任意设值。这样，就完成了如何设置初始条件的问题。

由于  $p_4^2$  未知，因而  $v_2$  尚未完全确定。

3)  $k = 4$ , 确定  $p_j^i$  ( $i = 1, 2, 3, \mid \lambda \mid \leq k - 1$ ), 即确定  $v_3(\xi)$ 。

根据  $\varphi_{i,1}^{(4)} = 0$  ( $i = 1, 2, 3, 4$ ) 和  $\varphi_{4,1}^{(4)} = 0$  可得  $p_4^i$  的计算公式和约束以及部分  $p_j^i$  ( $\mid \lambda \mid = 3$ ) 的计算公式如下：

$$\left\{ \begin{array}{l} p_4^i(\xi) = \mathcal{V}[p_{14}^i(1) + p_{24}^i(2) + p_{34}^i(3)] - \left[ u_1 p_{14}^i + u_2 p_{24}^i + u_3 p_{34}^i + \right. \\ \quad \left. \frac{1}{\rho} p_{44}^i + p_4^1 \cdot p_1^i + p_4^2 \cdot p_2^i + p_4^3 \cdot p_3^i \right] + \frac{\partial^2 F_i}{\partial x_4^2}(\xi) \quad (i = 1, 2, 3), \\ p_4^1(1) + p_4^2(2) + p_4^3(3) = 0, \\ \frac{1}{\rho}[p_{14}^4(1) + p_{24}^4(2) + p_{34}^4(3)] - \frac{\partial F}{\partial x}(\xi) - (p_1^1)^2 - \\ \quad (p_2^2)^2 - (p_3^3)^2 - 2p_2^1 p_1^2 - 2p_3^1 p_1^3 - 2p_3^2 p_2^3 = 0, \\ \left[ F = \frac{\partial F_1}{\partial x_1} + \frac{\partial F_2}{\partial x_2} + \frac{\partial F_3}{\partial x_3} \right]; \\ p_{jk}^i(\xi) = p_{jk}^i(l) \quad (i, j, k = 1, 2, 3, 4; l = 1, 2, 3); \end{array} \right. \quad (24)$$

$p_4^i(\xi)$  ( $i = 1, 2, 3, 4$ ) 待定。

$p_4^4(\xi)$  “缺席”！可任意设值。至此完整地确定了  $v_2$ 。

4)  $k \geq 5$ , 确定  $p_j^i$  ( $i = 1, 2, 3, 4, \mid \lambda \mid = k$ ), 即确定  $v_{k-1}(\xi)$ 。

根据  $\varphi_{i,k-2}^{(4)} = 0$  ( $i = 1, 2, 3, 4$ ),  $\varphi_{4,k-2}^{(4)} = 0$ , 可得  $p_4^{i,k-1}$  和部分  $p_j^i$  ( $\mid \lambda \mid = k$ ) 的计算公式及约束条件如下：

$$\left\{ \begin{aligned}
 p_4^{i k-1}(\xi) &= \mathcal{V}[p_{14}^{i k-2}(1) + p_{24}^{i k-2}(2) + p_{34}^{i k-2}(3)] - \left\{ [u_1 p_{14}^{i k-2} + u_2 p_{24}^{i k-2} + \right. \\
 &u_3 p_{34}^{i k-2}] + c_{k-2}^1 [p_{14}^{i k-3} + p_4^2 \cdot p_{24}^{i k-3} + p_4^3 \cdot p_{34}^{i k-3}] + \dots + \\
 &c_{k-2}^l [p_{14}^{i k-l-2} + p_4^2 \cdot p_{24}^{i k-l-2} + p_4^3 \cdot p_{34}^{i k-l-2}] + \dots + \\
 &c_{k-2}^{k-3} [p_{14}^{i k-3} \cdot p_{14}^i + p_{24}^{i k-3} \cdot p_{24}^i + p_{34}^{i k-3} \cdot p_{34}^i] + [p_{14}^{i k-2} \cdot p_{14}^i + \\
 &p_{24}^{i k-2} \cdot p_{24}^i + p_{34}^{i k-2} \cdot p_{34}^i] + \frac{1}{\rho} p_{i4}^{k-2} \Big\} + \\
 &\frac{\partial^{k-2} F_i}{\partial x_4^{k-2}}(\xi) \quad (i = 1, 2, 3), \\
 p_4^{1 k-1}(1) + p_4^{2 k-1}(2) + p_4^{3 k-1}(3) &= 0, \\
 \frac{1}{\rho} [p_{14}^{4 k-2}(1) + p_{24}^{4 k-2}(2) + p_{34}^{4 k-2}(3)] - \frac{\partial^{k-3} F}{\partial x_4^{k-3}}(\xi) &= 0;
 \end{aligned} \right. \quad (26)$$

$$p_j^{i \lambda}(\xi) = p_j^{i \lambda}(l) \quad (l = 1, 2, 3, |\lambda| = k - 1); \quad (27)$$

$p_4^{i k}(\xi) (i = 1, 2, 3, 4)$  待定(即由  $|\lambda| = k + 1$  时确定)。

求出了所有的  $p_j^{i \lambda}(\xi)$  之后, 根据定理 1, 就可得到形式解为

$$u_i(x) \sim \sum_{|\lambda|} \frac{1}{\lambda!} A_j^{i \lambda}(0) x^\lambda \quad (i = 1, 2, 3, 4),$$

式中,

$$A_j^{i \lambda}(0) = p_j^{i \lambda}(0).$$

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## On the Formal Solution of Initial Value Problem of Navier-Stokes Equation

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**Abstract:** A necessary and sufficient conditions of the existence of formal solution to the initial value problem of Navier-Stokes equation on  $R^3 \times R$  are presented. A computation case is also given.

**Key words:** Navier-Stokes equation; formal solution; stratification; equation secondaire